

1AP12R3C'd PCT/PTO 19 MAR 2007

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on March 16, 2007

Glenn P. Ladwig
Glenn P. Ladwig, Patent Attorney

Patent Application
Docket No. C&R-114
Serial No. 10/570,123

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Stephen Noel Fitzgerald, Richard Joseph Fagan, Christine Power,
Melanie Yorke
Serial No. : 10/570,123
Filed : February 28, 2006
Confirm. No. : 7426
For : C1Q Related Protein

MS PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

The above-identified patent application was filed with an unsigned Declaration (37 C.F.R. §1.63) and Power of Attorney form. Transmitted herewith is a fully executed Declaration (37 C.F.R. §1.63) and Power of Attorney form for the subject application.

Also attached herewith is a Submission of Sequence Listing and Statement under 37 C.F.R. §1.821 providing the sequence listing in computer readable format and on compact disk.

On or about March 1, 2007, it was determined that our law firm, Saliwanchik, Lloyd & Saliwanchik had not received a Notification of Missing Requirements in the subject application that was ostensibly mailed on September 13, 2006.

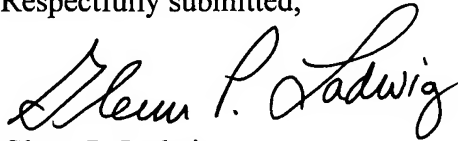
On February 26, 2007, the undersigned's assistant, Ms. Michelle Vandernoot, called the USPTO's PCT Operations National Stage Division to inquire as to the status of the subject application and spoke to Ms. Francine Young. Ms. Young indicated that a Notification of Missing Requirements had been prepared for the subject application, but never mailed. Ms. Young provided Ms. Vandernoot with the name and phone number of the paralegal responsible for the subject application, Ms. Paulette Kidwell. On March 1, 2007, Ms. Vandernoot contacted Ms. Kidwell to inquire about the Notification of Missing Requirements. Ms. Kidwell indicated that the Notification had been mailed on September 13, 2006. Ms. Vandernoot explained to Ms. Kidwell that our firm did not receive the Notification. Ms. Kidwell indicated that we needed to provide a copy of our incoming mail list in order to prove that our firm did not receive the Notification.

The Notification of Missing Requirements does not appear in the Patent Office's Patent Application Information Retrieval (PAIR) system. After contacting Examiner Mike Neas at the PCT Help Desk, a copy of the Notification was faxed to our firm on March 13, 2007. A copy of the Notification is attached, including the Facsimile Cover Sheet.

As instructed by Ms. Kidwell and Examiner Neas, attached is a copy of our incoming mail list documenting all mail received by our firm for the subject application. As this list shows, our firm did not receive the Notification of Missing Requirements prior to March 13, 2007. The applicants respectfully submit that the Notification of Missing Requirements was not received by the applicants prior to March 13, 2007. Therefore, the applicants respectfully request that the time period for responding to the Notice run from March 13, 2007. In addition, the applicants respectfully request that the submission of the executed Declaration and Power of Attorney form and Sequence Listing be considered timely.

Please charge the remaining surcharge of \$30.00 to Deposit Account No. 19-0065. The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. 19-0065. Two copies of this transmittal letter are enclosed.

Respectfully submitted,



Glenn P. Ladwig

Patent Attorney

Registration No. 46,853

Phone No.: 352-375-8100

Fax No.: 352-372-5800

Address: Saliwanchik, Lloyd & Saliwanchik
A Professional Association
P.O. Box 142950
Gainesville, FL 32614-2950

GPL/mv

Attachments: Executed Declaration and Power of Attorney
Amendment under 37 C.F.R. §1.825(a) through (c)
Submission of Sequence Listing and Statement under 37 C.F.R. §1.821
Two copies of Sequence Listing on CDs (Labeled "Copy 1" and "Copy 2")
Sequence Listing in computer readable format (on compact disc)
Copy of Notification of Missing Requirements
Copy of Incoming Mail Docket
Two copies of this Transmittal Letter

**PCT Help Desk
United States Patent and Trademark Office
Mail Stop PCT
P.O. Box 1450
Alexandria, VA 22313-1450
Telephone: (571) 272-4300
Facsimile: (571) 273-0419**

Facsimile Cover Sheet

To: Michelle VanDerNoot From: Mike Notts
Fax: 352-372-5800 Pages: 4
Phone: _____ Date: 13 MARCH 2007
Re: 10/570,123 CC: _____

☐ Urgent ☐ For Review ☐ Please Comment ☐ Please Reply ☐ Please Recycle

Copy of Notice of Missing Requirements



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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 Alexandria, Virginia 22313-1450
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/570,123	Stephen Noel Fitzgerald	C&R114

23557
 SALIWANCHIK LLOYD & SALIWANCHIK
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INTERNATIONAL APPLICATION NO.	
PCT/GB04/04197	
I.A. FILING DATE	PRIORITY DATE
10/01/2004	10/01/2003

CONFIRMATION NO. 7426

371 FORMALITIES LETTER



OC000000020403079

Date Mailed: 09/13/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/28/2006
- Copy of the International Search Report filed on 02/28/2006
- Preliminary Amendments filed on 02/28/2006
- Oath or Declaration filed on 02/28/2006
- Biochemical Sequence Listing filed on 02/28/2006
- Request for Immediate Examination filed on 02/28/2006
- U.S. Basic National Fees filed on 02/28/2006
- Priority Documents filed on 02/28/2006
- Specification filed on 02/28/2006
- Claims filed on 02/28/2006
- Abstracts filed on 02/28/2006
- Drawings filed on 02/28/2006
- Paper nucleotide sequence listings filed on 02/28/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of **\$150** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does

not comply with 37 CFR 1.497(a) and (b) in that it:

- is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$30 for a Large Entity:

- **\$130 Surcharge.**
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.
- Total additional claim fee(s) for this application is \$ 150
 - **\$150 for 3 total claims over 20.**

(A previous payment of \$250 will be applied to the additional fees indicated above.)

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patent Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patent Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/570,123	PCT/GB04/04197	C&R114

FORM PCT/DO/EO/905 (371 Formalities Notice)